# RAPID MIXING OF GLAUBER DYNAMICS VIA SPECTRAL INDEPENDENCE FOR ALL DEGREES Xiaoyu Chen<sup>1</sup>, Weiming Feng<sup>2</sup>, Yitong Yin<sup>1</sup>, Xinyuan Zhang<sup>1</sup>

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**Our results** 

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## Hardcore model

**Parameters**: graph G = (V, E) and fugacity  $\lambda > 0$ . **State space**:  $\Omega \subseteq \{-,+\}^V$  s.t. vertices with + form an independent set. **Gibbs distribution**:  $\mu$  over all independent sets  $\Omega$  in G:

$$\forall \text{ independent set } \sigma \in \Omega, \quad \mu(\sigma) = \frac{\lambda^{|\sigma|_+}}{Z}, \text{ where } Z = \sum_{\sigma \in \Omega} \lambda^{|\sigma|_+}$$

Mixing time for hardcore model when  $\lambda \leq (1 - \delta)\lambda_c$ 

Anari, Liu and Oveis Gharan, 2020	$n^{O(1/\delta)}$
Chen, Liu and Vigoda, 2021	$\Delta^{O(\Delta^2/\delta)} \cdot n \log n$
This work, 2021	$e^{O(1/\delta)} \cdot n^2 \log n$
Our follow-up work, 2022	$e^{O(1/\delta)} \cdot n \log n$



# Phase transition

Uniqueness threshold 
$$\lambda_c(\Delta) = \frac{(\Delta - 1)^{(\Delta - 1)}}{(\Delta - 2)^{\Delta}} \approx \frac{e}{\Delta}.$$

Phase transition in physics



• if  $\lambda < \lambda_c$ ,  $p_{\text{root}}^{\sigma}$  is independent with  $\sigma$  when  $\ell \to \infty$ ;

 $e^{O(1/\delta)} \cdot n \log n$ Chen and Eldan, 2022 Results for general distributions  $\mu$  over  $\{-,+\}^V$ • Influence matrix:  $\Psi(u, v) = |\Pr_{\mu}[v = + | u = +] - \Pr_{\mu}[v = + | u = -]|.$ • Spectral independence (SI): for any conditional distribution induced by  $\mu$ ,

the spectral radius  $\rho(\Psi) \leq C$ .

• Magnetising joint distribution with local fields  $\phi = (\phi_v)_{v \in V} \in \mathbb{R}^{V}_{>0}$ :

 $(\phi * \mu)(\sigma) \propto \mu(\sigma)$   $\phi_v$ .  $v \in V: \sigma_v = +$ 



- Complete SI:  $\forall \phi \in (0, 1]^V$ ,  $(\phi * \mu)$  is spectrally independent.
- Spectral gap:  $1 \lambda_2$ , where  $\lambda_2$  is the second largest eigenvalue of the transition matrix P of the Glauber dynamics on  $\mu$ :

$$T_{\text{mix}} = O\left(\frac{1}{\lambda_{\text{gap}}}\log\frac{1}{\mu_{\min}}\right), \text{ where } \mu_{\min} = \min_{\sigma \in \Omega} \mu(\sigma)$$

#### Boosting result for spectral gap of Glauber dynamics

For any C-completely SI distribution  $\mu$ , any  $\theta \in (0, 1)$ 

• if  $\lambda > \lambda_c$ ,  $p_{\text{root}}^{\sigma}$  is correlated with  $\sigma$  for any  $\ell$ .

### 2 Phase transition in computational complexity

• if  $\lambda < (1 - \delta)\lambda_c(\Delta)$ , sampling algorithm with running time  $n^{O((\log \Delta)/\delta)}$  [Weitz06]; • if  $\lambda > \lambda_c(\Delta)$ , sampling problem is intractable unless NP = RP [Sly10].

#### **Open problem** 3

Sampling algorithm with running time  $C(\delta) \cdot poly(n)$  for all hardcore models satisfying  $\lambda \leq (1 - \delta)\lambda_c(\Delta)$  and  $\Delta$  can be unbounded.

# **Glauber dynamics**

#### The Glauber dynamics

Start from an arbitrary independent set  $X \in \Omega$ ;

For each update step:

- 1. pick  $v \in V$  uniformly at random;
- 2. if all neighbours u of v satisfy  $X_u = -$

$$X_v \leftarrow \begin{cases} + & \text{with probability } \lambda/(1+\lambda), \\ - & \text{with probability } 1/(1+\lambda); \end{cases}$$

3. if some neighbour u of v satisfies  $X_u = +$ , then  $X_v \leftarrow -$ .

$$\lambda_{gap}(\mu) \ge \left(rac{ heta}{2}
ight)^{2C+7} \lambda_{gap}^*( heta*\mu), \quad \text{where } \theta_v = heta \text{ for all } v \in V$$

- $\lambda_{gap}(\mu)$ : the spectral gap of the Glauber dynamics on  $\mu$
- $\lambda^*_{gap}(\theta * \mu)$ : the minimum spectral gap of the Glauber dynamics on conditional distributions induced by  $(\theta * \mu)$ .



# **Field dynamics**

The Field dynamics Boolean distribution  $\mu$  over  $\{-,+\}^V$  and parameter  $\theta \in (0,1)$ .

Start from an arbitrary feasible state  $X \in \Omega$ ; For each update step:



**mixing time** :  $T_{\text{mix}} = \max_{X_0} \min\{t \mid d_{\text{tv}}(X_t, \mu) \le 0.001\}.$ total variation distance :  $d_{tv}(X_t, \mu) = \frac{1}{2} \sum |\Pr[X_t = \sigma] - \mu(\sigma)|$ . 1. construct S by selecting each  $v \in V$  independently with probability

$$p_v = \begin{cases} 1 & \text{if } X_v = -, \\ \theta & \text{if } X_v = +; \end{cases}$$

2. resample  $X_S \sim (\theta * \mu)_S(\cdot \mid X_{V \setminus S})$ .



• Comparison lemma:  $\lambda_{gap}(\mu) \ge \lambda_{gap}^{\mathsf{Field}}(\theta, \mu)\lambda_{gap}^*(\theta * \mu)$ . • Mixing lemma: If  $\mu$  is C-Completely SI, then  $\lambda_{qap}^{\text{Field}}(\theta, \mu) \geq \left(\frac{\theta}{2}\right)^{2C+7}$ .