

# Fast sampling and counting $k$ -SAT solutions in the local lemma regime

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# Conjunctive normal form (CNF)

- **$(k, d)$ -CNF formulas:**

- each clause contains  $k$  Boolean variables.
- each variable belongs to **at most**  $d$  clauses, e.g. **max degree  $\leq d$** .

$$\Phi = (x_1 \vee \neg x_2 \vee x_3) \wedge (x_1 \vee x_2 \vee x_4) \wedge (x_3 \vee \neg x_4 \vee \neg x_5)$$

*example:(3,2)-CNF formula*

- **SAT solutions:** an assignment of variables s.t.  **$\Phi = \text{true}$** .
- **Lovász Local Lemma (LLL):** SAT solution *exists* if  **$k \gtrsim \log d$** .

# Sampling & counting $k$ -SAT solutions

- **Input:** a  $(k, d)$ -CNF formula  $\Phi = (V, C)$  with  $|V| = n$ , an error bound  $\epsilon > 0$ .
- **Almost uniform sampling:** generate a  $k$ -SAT solution  $X \in \{\text{true}, \text{false}\}^V$  s.t. the *total variation distance*  $d_{TV}(X, \mu) \leq \epsilon$ ,

$\mu$ : the uniform distribution of all  $k$ -SAT solutions.

- **Approximate counting:** estimate the number of  $k$ -SAT solutions, e.g. output  $(1 - \epsilon)Z \leq \hat{Z} \leq (1 + \epsilon)Z$ ,

$Z$  = the number of  $k$ -SAT solutions.

Almost Uniform  
Sampling

Self-reduction [Jerrum, Valiant, Vazirani 1986]

Simulated annealing [Štefankovič et al. 2009]

Approximate  
Counting

| Work               | Regime                | Running time/lower bound  | Class of $(k, d)$ -CNF formulas |
|--------------------|-----------------------|---------------------------|---------------------------------|
| Hermon et al.'19   | $k \gtrsim 2 \log d$  | $\text{poly}(dk)n \log n$ | monotone CNF                    |
| Guo et al.'17      | $k \gtrsim 2 \log d$  | $\text{poly}(dk)n$        | heavy intersection              |
| Moitra'17          | $k \gtrsim 60 \log d$ | $n^{\text{poly}(dk)}$     | constant $d, k$                 |
| Bezáková et al.'15 | $k \leq 2 \log d - C$ | NP-hard                   | --                              |

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| <b>This work</b>   | <b><math>k \gtrsim 20 \log d</math></b> | <b><math>\tilde{O}(d^2 k^3 n^{1.000001})</math></b> | --                              |

### Main theorem [this work]

We give a **Markov chain** based sampling algorithm in time  $\tilde{O}(d^2 k^3 n^{1+\zeta})$  if

$$k \geq 20 \log d + 20 \log k + 3 \log \frac{1}{\zeta}$$

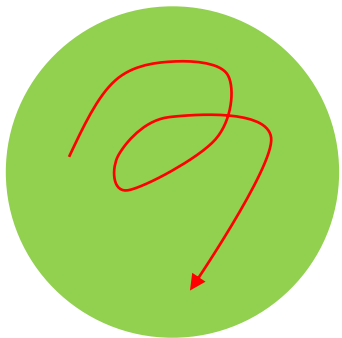
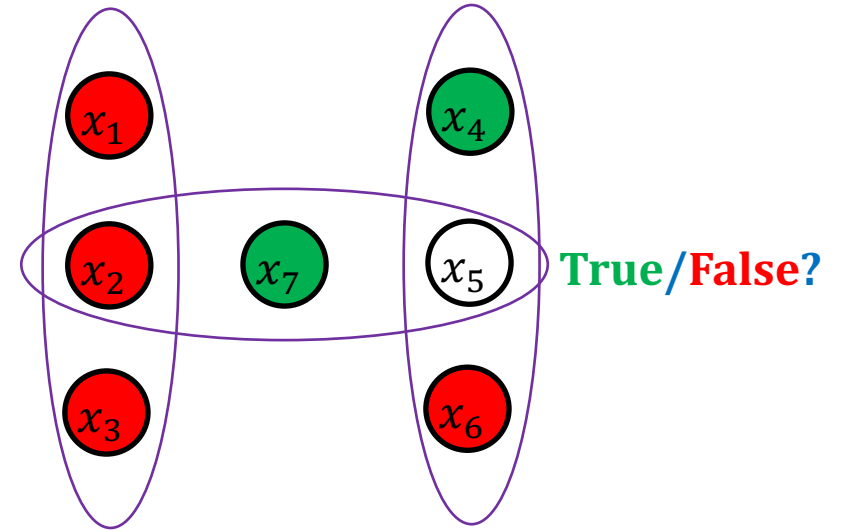
for **arbitrary** small  $\zeta < 2^{-20}$ .

# Classic Glauber dynamics (Gibbs sampling)

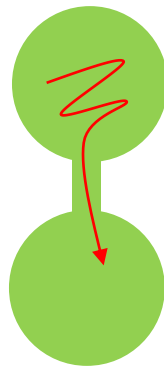
start from an arbitrary solution  $Y \in \{T, F\}^V$ ;

**for** each  $t$  from 1 to  $T$  **do**

- pick  $v \in V$  uniformly at random;
- resample  $Y_v$  from conditional distribution  $\mu(\cdot | Y_{V \setminus v})$ ;



rapid mixing



slow mixing



not mixing

For sampling CNF solutions,

**Glauber dynamics**

**meets**

**connectivity barrier**

# Our technique: projection



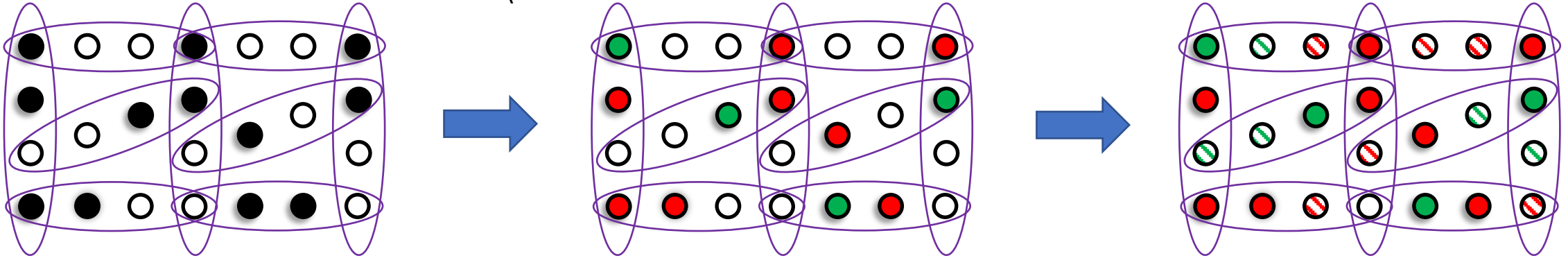
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Projecting from a high dimension to a lower dimension to improve connectivity

**Step 1:** Construct a *good subset* of variables  $M \subseteq V$

**Step 2:** Run *Glauber dynamics* on the *projected distribution*  $\mu_M$  to draw sample  $X \sim \mu_M$

**Step 3:** Draw sample  $Y \sim \mu_{V \setminus M}(\cdot | X)$  from the *conditional distribution*



**We are required to prove following:**

- The good subset  $M \subseteq V$  can *constructed efficiently* (use Morse-Tardos algorithm);
- The Glauber dynamics on  $\mu_M$  is *rapid mixing* (proved via path coupling);
- The Glauber dynamics and step-3 can be *implemented efficiently* (via rejection sampling).



# Summary

- A close to **linear time** algorithm for sampling  $k$ -SAT solutions in LLL regime.
- A close to **quadratic time** algorithm for counting  $k$ -SAT solutions in LLL regime.
- Projection + LLL technique to bypass the **connectivity barrier** of MCMC method.

# Open problems

- Sampling & counting  $k$ -SAT solutions when  $k \gtrsim 2 \log d$ .
- Extend the technique to more general distributions  
e.g. hyper-graph  $q$ -coloring when  $q \gtrsim d^{\frac{c}{k-1}}$ .

**Thank you!**