Faster mixing of the Jerrum-Sinclair chain

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Joint work with

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Monomer-dimer model

Simple graph G = (V, E) and edge weight $\lambda > 0$

 $\forall \text{ mathing } M \subseteq E, \ \mu(M) \propto \lambda^{|M|}$



Jerrum-Sinclair chain updates matching $X_t \rightarrow X_{t+1}$ by

- select an edge $e = \{u, v\} \in E$ u.a.r.
- propose a candidate matching M from X_t by
 - 1) down transition: if $e \in X_t$, set $M \leftarrow X_t e$



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 - 2) up transition: if both u, v are **not saturated** in X_t , set $M \leftarrow X_t + e$



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 - 3) exchange transition: if one endpoint is *saturated* and the other is *not*, say

u is saturated by edge f and v is not, set $M \leftarrow X_t + e - f$



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4) otherwise (both u, v are saturated and $e \notin X_t$): set $M \leftarrow X_t$



Jerrum-Sinclair chain P_{JS} updates matching $X_t \rightarrow X_{t+1}$ by

- select an edge $e = \{u, v\} \in E$ u.a.r.
- propose a candidate matching M for X_{t+1} by
 - 1) down transition: if $e \in X_t$, set $M \leftarrow X_t e$
 - 2) up transition: if both u, v are **not saturated** in X_t , set $M \leftarrow X_t + e$
 - 3) exchange transition: if one endpoint is *saturated* and the other is *not*, say

u is saturated by edge f and v is not, set $M \leftarrow X_t + e - f$

- 4) otherwise (both u, v are saturated and $e \notin X_t$): set $M \leftarrow X_t$
- with prob. $\min\left\{1, \frac{\mu(M)}{\mu(X_t)}\right\}$, accept M and set $X_{t+1} \leftarrow M$; otherwise, $X_{t+1} \leftarrow X_t$ (Metropolis filter)

1/2-Lazy Jerrum-Sinclair chain: $P_{JS_{zz}} = \frac{1}{2}(P_{JS} + I)$

Mixing time:
$$T_{\min}(P_{JS_{ZZ}}) = \max_{X_0} \min\{t > 0 \mid ||X_t - \mu||_{TV} \le \frac{1}{4e}\}$$

Mixing time results

Jerrum-Sinclair (1989): Graph with n vertices and m edges; constant $\lambda > 0$,

 $T_{\rm mix}(P_{JS_zz}) = \tilde{O}(mn^2)$

Canonical Path: O(nm)-congestion with O(n) path length \implies spectral gap $\gamma = \Omega\left(\frac{1}{nm}\right)$ **Mixing time**: $T_{\text{mix}}(P_{JS_zz}) = O\left(\frac{1}{\gamma}\log\frac{1}{\mu_{\min}}\right)$, $\mu_{\min} = \min_{M}\mu(M)$ and $\log\frac{1}{\mu_{\min}} = \tilde{O}(n)$

Chen-Liu-Vigoda (2021): Graph with *n* vertices, *m* edges, and max degree Δ ; constant $\lambda > 0$, $T_{\text{mix}}(\text{Glauber dynamics}) = O(\Delta^{\Delta^2} \cdot m \log n)$

Spectral independence (local-to-global in HDX) \longrightarrow modified log-Sobolev const. $\alpha = \Omega_{\Delta} \left(\frac{1}{m}\right)$

Our Result:
$$T_{\min}(P_{JS}_{zz}) = O(\Delta m \cdot \min\{n, \Delta \log \Delta \log n\}) = \tilde{O}(m\Delta^2)$$

• Spectral gap: $\Omega\left(\frac{1}{m\Delta}\right)$
• Log-Sobolev const.: $\Omega\left(\frac{1}{m\Delta^2}\right)$
Corollary: $T_{\min}(\text{Glauber dynamics}) = \tilde{O}(\Delta^3 \cdot m)$

General results

- **Distribution** μ over $\Omega \subseteq [q]^E$ for finite domain $[q] = \{1, 2, ..., q\}$ and variable set E
- **Random variable** F = f(X) for a function $f: \Omega \to \mathbb{R}$ and $X \sim \mu$

Variance: $\operatorname{Var}[F] = \operatorname{Var}_{\mu}[f] = \mathbb{E}[F^2] - \mathbb{E}[F]^2$ Entropy: $\operatorname{Ent}[F] = \operatorname{Ent}_{\mu}[f] = \mathbb{E}[F \log F] - \mathbb{E}[F] \log \mathbb{E}[F]$

Reversible Markov chain Q for μ : $\forall x, y, \mu(x)Q(x, y) = \mu(y)Q(y, x)$ •

Dirichlet form: $\mathcal{E}_Q(f, f) = \frac{1}{2} \sum_{xy \in \Omega} \mu(x) Q(x, y) (f(x) - f(y))^2$ for all $f: \Omega \to \mathbb{R}$

Poincáre Inequality (Spectral Gap)

 $\gamma(Q) \cdot \operatorname{Var}_{\mu}[f] \leq \mathcal{E}_{O}(f, f)$

$$T_{\min}\left(\frac{Q+I}{2}\right) = O\left(\frac{1}{\gamma(Q)}\log\frac{1}{\mu_{\min}}\right)$$

Log-Sobolev Inequality $\rho(Q) \cdot \operatorname{Ent}_{\mu}[F^2] \leq \mathcal{E}_Q(f, f)$ $\longrightarrow T_{\text{mix}}(Q) = O\left(\frac{1}{\rho(Q)}\log\log\frac{1}{\mu_{\text{min}}}\right)$

Family of Markov chains

◦ For a subset $\Lambda ⊆ E$, a pinning $\tau ∈ [q]^{E \setminus \Lambda}$ outside Λ, define conditional distribution

 $\mu^{\tau} = (\text{distribution of } X \sim \mu \text{ conditional on } X_{E \setminus \Lambda} = \tau)$



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 \circ Markov chain Q^{τ} is a reversible chain for μ^{τ}

a family of chains $Q = \{ Q^{\tau} \mid \tau \text{ is a pinning} \}$

Example: *Q* is a family of *Glauber dynamics* or a family of *Metropolis chains*.

Concave Dirichlet forms: $\forall \Lambda \subseteq E, \forall \tau \in [q]^{E \setminus \Lambda}$, the Markov chain Q^{τ} for μ^{τ} satisfies



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$$\frac{1}{|\Lambda|} \sum_{e \in \Lambda} \mathbb{E}_{c \sim \mu_e^{\tau}} \left[\mathcal{E}_{Q^{\tau \wedge (e \leftarrow c)}}(f, f) \right] \leq \mathcal{E}_{Q^{\tau}}(f, f).$$

Dirichlet forms of Q^{τ} for μ^{τ}
average of Dirichlet forms
$$Markov \ chain \ for \ conditional \ distributions$$

with one more pinned variable

Local functional inequalities

Distribution μ over $\Omega \subseteq [q]^E$, random variables F = f(X), where $f: \Omega \to \mathbb{R}$ and $X \sim \mu$



 $\alpha \cdot \sum_{e \in E} \operatorname{Ent} \left[\mathbb{E} [F^2 \mid X_e] \right] \leq \mathcal{E}_Q(f, f)$

Local functional inequalities for a family of Markov chains Q

 $(\alpha_1, \alpha_2, \dots, \alpha_{|E|})$ -local Poincáre Inequality

 $\forall \Lambda \subseteq E, \forall \tau \in [q]^{E \setminus \Lambda}$, the Markov chain Q^{τ} for μ^{τ} satisfies the $\alpha_{|\Lambda|}$ -local Poincáre Inequality



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$$\alpha_{|\Lambda|} \cdot \sum_{e \in E} \operatorname{Var} \left[\mathbb{E} [F \mid X_e] \right] \leq \mathcal{E}_{Q^{\mathsf{T}}}(f, f),$$

where $F = f(X)$ and $X \sim \mu^{\mathsf{T}}$

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Local functional inequalities for a family of Markov chains ${\cal Q}$

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Local-to-global theorem for functional inequalities

For a family of Markov chains Q with the concave Dirichlet forms



Q: Markov chain for $\mu = \mu^{\emptyset}$ without pinning

How to establish local functional inequalities?

 α -local Poincáre Inequality $\alpha \cdot \sum \operatorname{Var}\left[\mathbb{E}[F \mid X_e]\right] \leq \mathcal{E}_Q(f, f)$

 α -local log-Sobolev Inequality $\alpha \cdot \sum \operatorname{Ent} \left[\mathbb{E} \left[F^2 \mid X_e \right] \right] \leq \mathcal{E}_Q(f, f)$

Transport Flow

Given a Markov chain Q, a transport flow Γ from a distribution ν to a distribution π is *a distribution of paths* such that $\gamma = (x_0, x_1, \dots, x_\ell) \sim \Gamma$ satisfies

The starting point s(γ) = x₀ ~ ν
The endpoint t(γ) = x_ℓ ~ π
\$\begin{bmatrix} s(γ) = x_0 ~ v
\$\begin{bmatrix} s(γ) = x_0 ~ v
\$\begin{bmatrix} s(γ) = x_0 ~ n
\$\begin{bmatrix} s(γ) = x_0 ~ n

• Every pair of adjacent points (x_i, x_{i+1}) is a *transition* in Q





Sending $\mu_e(a)\mu_e(b)$ units of flow via a *random path*

from the *transport flow* $\Gamma_e^{a \to b}$ from $\mu^{e \leftarrow a}$ to $\mu^{e \leftarrow b}$



If there exists a *family of transport flow*

 $\{\Gamma_e^{a \to b} \text{ from } \mu^{e \leftarrow a} \text{ to } \mu^{e \leftarrow b} \mid e \in E, a, b \in [q]\}$

If there exists a *family of transport flow*

$$\{\Gamma_e^{a \to b} \text{ from } \mu^{e \leftarrow a} \text{ to } \mu^{e \leftarrow b} \mid e \in E, a, b \in [q]\}$$

• (κ -expected congestion) For any transition ($x \rightarrow y$) in Q, and any $a, b \in [q]$,

$$\sum_{e \in E} \mu_e(a)\mu_e(b) \cdot \Pr_{\gamma \sim \Gamma_e^{a \to b}}[(x \to y) \in \gamma] \le \kappa \cdot \mu(x)Q(x,y).$$



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• (*L*-expected length) For any $e \in E$, any $a, b \in [q]$, $\mathbb{E}_{\gamma \sim \Gamma_{a}^{a \to b}}[\ell(\gamma)] \leq L$

Slightly different definitions are used in the paper to improve the application

 $\alpha \operatorname{-local Poincáre Inequality} \alpha \cdot \sum_{e \in E} \operatorname{Var} \left[\mathbb{E}[F \mid X_e] \right] \leq \mathcal{E}_Q(f, f) \text{ with } \alpha = \Omega\left(\frac{1}{q^2 \kappa L}\right)$

Family of transport flow

- low expected congestion
- low expected length



local Poincáre Inequality

Construct transport flow $\Gamma_e^{a \to b}$ from $\mu^{e \leftarrow a}$ to $\mu^{e \leftarrow b}$ such that for $\gamma = (x_0, x_1, \dots, x_\ell) \sim \Gamma$

• The starting point $s(\gamma) = x_0 \sim \mu^{e \leftarrow a}$ • The endpoint $t(\gamma) = x_\ell \sim \mu^{e \leftarrow b}$ $\left\{ s(\gamma), t(\gamma) \right\} \text{ forms a coupling of } \mu^{e \leftarrow a} \text{ and } \mu^{e \leftarrow a}$

• Every pair of adjacent points (x_i, x_{i+1}) is a *transition* in Q

Find a **good coupling** with small **expected discrepancy** between $\mu^{e \leftarrow a}$ and $\mu^{e \leftarrow b}$

Canonical path and multicommodity flow

The technique [Diaconis and Stroock 91] [Sinclair 92] is to bound *global variance* $\alpha \cdot Var[F] \leq \mathcal{E}_Q(f, f)$



- *Canonical path*: sending flow through one path
- *Multicommodity flow*: sending flow through a distribution of paths

$$\operatorname{Var}\left[\mathbb{E}[F \mid X_e]\right] = \frac{1}{2} \sum_{a,b \in [q]} \mu_e(a)\mu_e(b)(\mathbb{E}[F \mid X_e = a] - \mathbb{E}[F \mid X_e = b])^2$$

$$\operatorname{Iocal variance} \qquad \operatorname{amount of flow}$$

$$\operatorname{Var}\left[\mathbb{E}[F \mid X_{e}]\right] = \frac{1}{2} \sum_{a,b \in [q]} \mu_{e}(a)\mu_{e}(b)(\mathbb{E}[F \mid X_{e} = a] - \mathbb{E}[F \mid X_{e} = b])^{2}$$

$$= \frac{1}{2} \sum_{a,b \in [q]} \mu_{e}(a)\mu_{e}(b)\left(\mathbb{E}_{X \sim \mu^{e \leftarrow a}}[f(X)] - \mathbb{E}_{Y \sim \mu^{e \leftarrow b}}[f(Y)]\right)^{2}$$

$$\stackrel{\text{beginning of the}}{\stackrel{\text{transport flow}}{\stackrel{\text{transport flow}}{\stackrel{\text{transport$$

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By definition $F = f(x)$
$$= \frac{1}{2} \sum_{a,b \in [q]} \mu_{e}(a)\mu_{e}(b)\left(\mathbb{E}_{X \sim \mu^{e \leftarrow a}}[f(X)] - \mathbb{E}_{Y \sim \mu^{e \leftarrow b}}[f(Y)]\right)^{2}$$

Coupling : $(x_{0}, x_{\ell}) \sim \left(\mu^{e \leftarrow a}, \mu^{e \leftarrow b}\right) = \frac{1}{2} \sum_{a,b \in [q]} \mu_{e}(a)\mu_{e}(b)\left(\mathbb{E}_{\gamma=(x_{0}, x_{1}, \dots, x_{\ell}) \sim \Gamma_{e}^{a \to b}}[f(x_{0}) - f(x_{\ell})]\right)^{2}$

sample a random path from the flow

$$\operatorname{Var}\left[\mathbb{E}[F \mid X_{e}]\right] = \frac{1}{2} \sum_{a,b \in [q]} \mu_{e}(a)\mu_{e}(b)(\mathbb{E}[F \mid X_{e} = a] - \mathbb{E}[F \mid X_{e} = b])^{2}$$
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$$= \frac{1}{2} \sum_{a,b \in [q]} \mu_{e}(a)\mu_{e}(b)\left(\mathbb{E}_{Y = (x_{0}, x_{1}, \dots, x_{\ell}) \sim \Gamma_{e}^{a \rightarrow b}}[f(x_{0}) - f(x_{\ell})]\right)^{2}$$
Telescoping sum along the path
$$= \frac{1}{2} \sum_{a,b \in [q]} \mu_{e}(a)\mu_{e}(b)\left(\mathbb{E}_{Y = (x_{0}, x_{1}, \dots, x_{\ell}) \sim \Gamma_{e}^{a \rightarrow b}}\left[\sum_{1 \leq i \leq \ell} (f(x_{i}) - f(x_{i-1}))\right]\right)^{2}$$

$$\operatorname{Var}\left[\mathbb{E}[F \mid X_{e}]\right] = \frac{1}{2} \sum_{a,b \in [q]} \mu_{e}(a)\mu_{e}(b)(\mathbb{E}[F \mid X_{e} = a] - \mathbb{E}[F \mid X_{e} = b])^{2}$$
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Summing by
enumerating transitions
$$= \frac{1}{2} \sum_{a,b \in [q]} \mu_{e}(a)\mu_{e}(b)\left(\mathbb{E}_{Y \sim \Gamma_{e}^{a \rightarrow b}}\left[\sum_{(x \rightarrow y) \in Q} (f(x) - f(y))\mathbf{1}[(x \rightarrow y) \in \gamma]\right]\right)^{2}$$

$$\operatorname{Var}\left[\mathbb{E}[F \mid X_{e}]\right] = \frac{1}{2} \sum_{a,b \in [q]} \mu_{e}(a)\mu_{e}(b)(\mathbb{E}[F \mid X_{e} = a] - \mathbb{E}[F \mid X_{e} = b])^{2}$$
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- using Cauchy–Schwarz inequality on the term
- the rest of the proof follows from the standard analysis in [Sinclair 92]

Local log-Sobolev inequality via transport flow

If there exists a *family of transport flow*

$$\{\Gamma_e^{a \to b} \text{ from } \mu^{e \leftarrow a} \text{ to } \mu^{e \leftarrow b} \mid e \in E, a, b \in [q]\}$$

• (κ -(strong) expected congestion) For any transition ($x \rightarrow y$) in Q, and any $a, b \in [q]$,

$$\sum_{e \in E} \mu_e(a) \mu_e(b) \cdot \mathbb{E}_{\gamma \sim \Gamma_e^{a \to b}} \Big[\ell(\gamma) \cdot \mathbf{1} [(x \to y) \in \gamma] \Big] \le \kappa \cdot \mu(x) Q(x, y).$$

add the length of the path into the expectation

Local log-Sobolev inequality via transport flow

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 $\phi = \min\{\mu_e(c) \mid e \in E, c \in [q]\}\$ is the *marginal lower bound*

Proof outline: log-Sobolev inequality via transport flow

$$\begin{bmatrix} \text{marginal} \\ \text{lower bound} \\ \text{of } X_e \end{bmatrix} \bigoplus \begin{bmatrix} \text{marginal} \\ \text{lower bound} \\ \text{of } \mathbb{E}[F^2 \mid X_e] \end{bmatrix} \bigoplus \begin{bmatrix} \text{Int}[\mathbb{E}[F^2 \mid X_e]] \\ \text{Int}[\mathbb{E}[F^2 \mid X_e]] \end{bmatrix} \leq \frac{\log\left(\frac{1}{\phi} - 1\right)}{1 - 2\phi} \operatorname{Var}\left[\sqrt{\mathbb{E}[F^2 \mid X_e]}\right]$$

$$\operatorname{Var}\left[\sqrt{\mathbb{E}[F^2 \mid X_e]}\right] \leq \sum_{a,b \in [q]} \mu_e(a) \mu_e(b) \cdot \mathbb{E}_{\gamma = (x_0, \dots, x_\ell) \sim \Gamma_e^{a \to b}} \left[\left(\sum_{1 \leq i \leq \ell} f(x_i) - f(x_{i-1}) \right)^2 \right]$$

by convexity of $h(x, y) = \left(\sqrt{x} - \sqrt{y}\right)^2$

Using Cauchy–Schwarz inequality on the term



Application to Jerrum-Sinclair chain



For μ^{τ} with pinnings $\tau \in \{0,1\}^{E-\Lambda}$, free variables in Λ , the Jerrum-Sinclair chain Q^{τ} : $X_t \to X_{t+1}$

- Pick an edge $e \in \Lambda$ uniformly at random
- Construct a candidate matching M from X_t
- Accept or reject *M* via Metropolis filter w.r.t. μ^{τ}

The family of Jerrum-Sinclair chains $Q = \{Q^{\tau} \mid \tau\}$ satisfies

- $(\alpha_1, \alpha_2, ..., \alpha_{|E|})$ -local Poincáre inequality with $\alpha_k = \Omega_\lambda \left(\frac{1}{k\Delta}\right)$
- $(\alpha_1, \alpha_2, ..., \alpha_{|E|})$ -log Sobolev inequality with $\alpha_k = \Omega_\lambda \left(\frac{1}{k\Delta^2 \log \Delta}\right)$

Proved by **transport flow**

Fix an edge $e \in E$, construct transport flow from $\mu^{e \leftarrow \text{unmatched}}$ to $\mu^{e \leftarrow \text{matched}}$

- Sample (X, Y) from the *local-flipping coupling* of $\mu^{e \leftarrow \text{unmatched}}$ to $\mu^{e \leftarrow \text{matched}}$
- Construct canonical path from X to Y using Jerrum and Sinclair's construction



- Sample $X \sim \mu^{e \leftarrow \text{unmatched}}$ and $Z \sim \mu^{e \leftarrow \text{matched}}$ independently
- The difference between X and Y are paths and cycles, find the unique one B containing e
- Let $Y = Z_B \cup X_{E-B}$ (flipping B in X to obtain Y)





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- The difference between X and Z are paths and cycles, find the unique one B containing e
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Transport Flow

- Sample (X, Y) from the *local-flipping coupling* of $\mu^{e \leftarrow \text{unmatched}}$ to $\mu^{e \leftarrow \text{matched}}$
- Construct canonical path from X to Y using Jerrum and Sinclair's construction



Proof overview of expected length and congestion

- Analyze coupling via *local* reviewing process
- Disagreement percolation



- For $(X, Y) \sim C_e$ from local flipping coupling
- Length bound $\mathbb{E}[|X \bigoplus Y|] \leq O_{\lambda}(\sqrt{\Delta})$
 - One sided bound $\mathbb{E}[|X \bigoplus Y| \mid X = x] \le O_{\lambda}(\Delta)$

Expected congestion and strong congestion analysis

$$\sum_{e \in E} \mu_e(a) \mu_e(b) \cdot \mathbb{E}_{\gamma \sim \Gamma_e} \big[\mathbf{1} [(x \to y) \in \gamma] \big] \le \kappa \cdot \mu(x) Q(x, y).$$

 $\sum_{e \in E} \mu_e(a)\mu_e(b) \cdot \mathbb{E}_{\gamma \sim \Gamma_e} [\ell(\gamma) \cdot \mathbf{1}[(x \to y) \in \gamma]] \leq \kappa \cdot \mu(x)Q(x,y).$





- Sample starting and ending points from coupling
- Construct the path deterministically

The randomness is only from locally flipping coupling

Proof overview of expected length and congestion

- Analyze coupling via *local* reviewing process
- Disagreement percolation



- For $(X, Y) \sim C_e$ from local flipping coupling
- Length bound $\mathbb{E}[|X \bigoplus Y|] \le O_{\lambda}(\sqrt{\Delta})$
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Open problems

- Lower discrepancy coupling of $\mu^{e \leftarrow a}$ and $\mu^{e \leftarrow b}$
- Construction of canonical paths



- Poincáre inequality
- log-Sobolev inequality

- Sharp bound for Jerrum-Sinclair chain: $\tilde{O}(m\sqrt{\Delta})$ mixing?
- More applications?

Improving the mixing bound for e.g. the permanent, the Ising model,

the switch/flip chain for sampling regular graphs...